



UTILITY PATENT APPLICATION TRANSMITTAL

(For new Non-provisional applications under 37 CFR1.53(b))

14302 U.S. PTO

10/7/2004 59



112403

Attorney Docket No.: WIS4987P0320US

First Named Inventor: Eric W. Triplett et al.

Express Mail Label No. EV360023280US

Mail Stop Patent Application

Commissioner For Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith for filing is a new utility patent application of inventor(s): **Eric Triplett, Shawn M. Kaeppler, and Marisa K. Chelius** and entitled: **Bacterial Inoculants for Enhancing Plant Growth**. For purposes of publication, this patent application is assigned to: **Wisconsin Alumni Research Foundation**.

Application Elements:

1. ☐ Applicant claims small entity status (See 37 CFR 1.27.)
2. ☒ Specification containing 18 pages.
3. ☐ Drawings: Sheets of ☐ formal drawings ☐ informal drawings
4. ☒ Oath or Declaration
 - a. ☐ An executed declaration or oath for the utility patent application including a power of attorney,
 - b. ☐ An unexecuted declaration or oath for the utility patent application including a power of attorney;
 - c. ☒ Copy from a prior application (37 CFR 1.63(d), for continuation/divisional with No. 17 completed.
 - i. ☐ Signed statement attached deleting inventor(s) named in the prior application (see 37 CFR 1.63(d)(2) and 1.33(b)).
5. ☐ Application Data Sheet (See 37 CFR 1.76.)
6. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix).
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary).
 - ☐ Computer Readable Form (CFR)
 - ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - ☐ Statements verifying identity of above copies.

Accompanying Application Parts:

8. ☐ Assignment Papers (cover sheet, document(s) and requisite fee).
9. ☐ 37 CFR 3.73(b) Statement (where there is an assignee)
☐ Power of Attorney
10. ☐ English Translation document (if applicable)
11. ☐ Information Disclosure Statement (IDS), including PTO-1449
☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Postcard for PTO Mail Room Date Stamp (should be specifically itemized).
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed).
15. ☐ Request and Certification For Nonpublication Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
16. ☐ Other _____

17. ☒ **If Continuing Application**, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 10/005,412, filed 12/31/01.

Prior application information: Examiner: S. Clardy Group/Art Unit: 1616

For CONTINUATION or DIVISIONAL Applications only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4c, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

Fee Calculation The filing fee has been calculated as shown below:

Small Entity						Large Entity	
For	No. Filed	No. Allowed	No. Extra	Rate	Fee	Rate	Fee
Basic Fee					\$385.00		\$770.00
Total Claims	6	- 20 =	0	x \$9.00	\$	x \$18.00	\$
Indep. Claims	5	- 3 =	2	x \$43.00	\$	x \$86.00	\$172.00
Multiple Dependent Claims Present				+ \$145.00	\$	+ \$290.00	\$
TOTAL					\$	TOTAL	\$942.00

18. ☒ A check in the amount of \$942.00 to cover the filing fee is enclosed.
19. ☐ Please charge my Deposit Account No. 23-0785 in the amount of \$ _____.

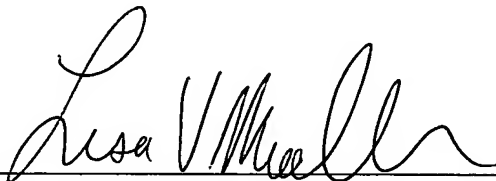
20. ☒ The Commissioner is authorized to charge payment of the following amounts associated with this communication or credit any overpayment to Deposit Account No. 23-0785:
- ☒ Additional filing fees under 37 CFR 1.16 or deficiencies in remittances therefor.
 - ☒ Additional processing fees under 37 CFR 1.17 or deficiencies in remittances therefor.
- ☐ **ONLY if applicant has partially paid** the patent issue fee under 37 C.F.R. §1.18, then the **deficiency** shall be charged to Deposit Account No. 23-0785, and the Commissioner is authorized to so charge the Deposit Account.

21. **Correspondence Address:** WOOD, PHILLIPS, KATZ, CLARK & MORTIMER
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Date: November 24, 2003

Attorney's Signature


Lisa V. Mueller, Reg. No. 38,978

CERTIFICATE OF MAILING BY EXPRESS MAIL

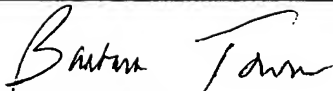
I hereby certify that this Utility Patent Application Transmittal, enclosed application, and any other documents referred to as enclosed herein, are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

Express Mail Label No.: EV360023280US

Date of Deposit: November 24, 2003

Typed/Printed Name of Person Signing: Barbara Towns

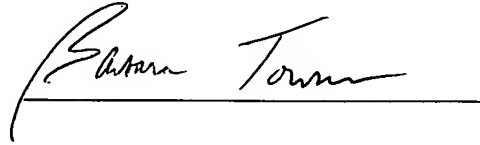
Signature:



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CERTIFICATE OF EXPRESS MAILING

I hereby certify that this Preliminary Amendment is being deposited with the United States Postal Service with sufficient postage as Express Mail No. EV360023280US in an envelope addressed to: Commissioner for Patents, PO Box 1450, Mail Stop Patent Application, Alexandria, VA 22313-1450 on a November 24, 2003.

A handwritten signature in cursive script, appearing to read "Sharon Turner", is written over a horizontal line.

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